



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

WITZTUM, Joseph L., *et al.*

Serial No.: 10/706,659

Filed: November 12, 2003

For: REAGENTS FOR DIAGNOSING,  
IMAGING AND TREATING A  
THEROSCLEROTIC DISEASE

Customer No.: 27111

Confirmation No.: 3280

Group Art Unit: 1641

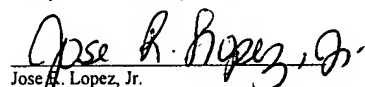
Examiner: COOK, Lisa V.

Docket No.: XUCSD-1034917  
[formerly 034123-014]

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DATE OF DEPOSIT: July 12, 2006

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Jose R. Lopez, Jr.

**THIRD INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

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Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Forms PTO/SB/08b for consideration by the Examiner.

This Information Disclosure Statement is submitted:

☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.

☐ A fee is required. A check in the amount of \_\_\_\_\_ is enclosed.

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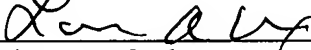
☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.

☐ After mailing of a Final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 50-1990** referencing **XUCSD-1034917** and please credit any excess fees to such deposit account. However, the Commissioner for Patents is not authorized to charge the cost of the issue fee to the Deposit Account.

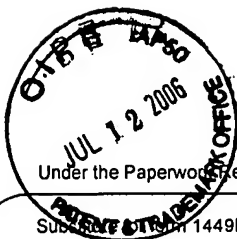
Respectfully submitted,

Dated: July 12, 2006

By:   
Laurie A. Axford  
Registration No. 35,053

**Please recognize our Customer Number 27111  
as our correspondence address.**

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PTO/SB/08b (07-05)  
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Sub. Ref. No. 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/706,659
Filing Date	November 12, 2003
First Named Inventor	WITZTUM, Joseph L., <i>et al.</i>
Art Unit	1641
Examiner Name	COOK, Lisa V.
Attorney Docket Number	XUCSD-1034917

Sheet

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of

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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BARBAS, CF, et al., "Combinatorial Immunoglobulin Libraries on the Surface of Phage (pphabs): Rapid Selection of Antigen-Specific Fates," METHODS: A Companion to Methods in Enzymology, 1991, 2(2): 119-124, Academic Press, Inc., Burlington, MA	
		CHANG, M-K, et al., "Monoclonal Antibodies Against Oxidized LDL Bind to Apoptotic Cells and Inhibit Their Phagocytosis by Elicited Macrophages: Evidence That Oxidation Specific Epitopes Mediate Macrophage Recognition," Proc. Nat. Acad. Sci. U.S.A., 1999, 96:6353-	
		van der KOOIJ, MA, et al., "Human Monocyte-Derived Macrophages Express an Approximately 120-kD Ox-LDL Binding Protein With Strong Identity to CD 68", 1997, 17(11): 3107-3116.	

Examiner  
Signature

Date  
Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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